



Patent Application  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher J. LaRiviere

Date: May 11, 1999

Date Filed: March 2, 1999

Docket No.: BELOCOR-171

Application No.: 09/260,458

For: TANGENTIAL DISCHARGE DISK REFINER

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope  
addressed to: Attention: Box Missing Parts  
Assistant Commissioner for Patents,  
Washington, D.C. 20231

on 5-11-99  
Date

Signature

Patrick J. G. Stiennon, Reg. No. 34934  
Name of applicant, assignee or Registered Representative

**TRANSMITTAL OF MISSING PARTS OF  
APPLICATION UNDER 37 C.F.R. §1.53**

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice of Missing Parts mailed April 7, 1999, enclosed are the  
following items for filing in the above-referenced U.S. Patent application:

Applicant: Christopher J. LaRiviere  
Application No.: **09/260,458**  
Art Unit:

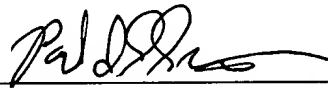
1. A Declaration and Power of Attorney, executed by the applicant on May 5, 1999 with attached specification and claims and formal drawings.
2. Form PTO-1533 Notice to File Missing Parts.
3. A check in the amount of \$890.00 to cover the filing fee (37 C.F.R. §1.16(a) et seq.), and the surcharge set forth in 37 C.F.R. §1.16(e).
4. Form PTO SB/21 Transmittal Form.
5. Form PTO SB/17 Fee Transmittal Form.

Note the Declaration incorrectly refers to the filing date as March 5, 1999 but correctly refers to the title and serial number of the application. The application was filed on March 2, 1999. This error is minor, and obvious in context and can be waived by Examiner.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 15-0660. A duplicate copy of this document is enclosed.

Having now complied with all of the requirements of 37 C.F.R. §1.53, applicant respectfully requests that this application be placed upon the files for examination.

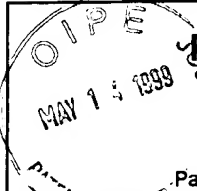
Respectfully submitted,



Patrick J. G. Stiennon, Reg. No. 34934  
Attorney for Applicant  
Lathrop & Clark LLP  
740 Regent Street, Suite 400  
P.O. Box 1507  
Madison, Wisconsin 53701-1507  
(608) 257-7766

#3

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<div style="float: left; text-align: center;">  </div> <div style="float: right; text-align: center;"> <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="margin: 0; font-size: small;">Note: Effective October 1, 1997, Patent fees are subject to annual revision</p> </div> <div style="clear: both;"></div>				Complete if Known																																																																																																																																																																																																																																																																																																																																																
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146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																																																																																																
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#3

# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	09/260,458
Filing Date	March 2, 1999
First Named Inventor	Christopher J. LaRiviere
Group Art Unit	
Examiner Name	

Total Number of Pages in This Submission

Attorney Docket Number

BELOCOR-171

☒ Fee Transmittal Form☒ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☒ Response to Missing Parts  
Under 37 CFR 1.52 or 1.53☐ Assignment Papers  
(For an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)  
And Accompanying Petition☐ To Convert a Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Small Entity Statement☐ Request for Refund☐ After Allowance Communication To  
Group☐ Appeal Communication to Board Of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Additional Enclosure(s)  
(Please identify below):

•PTO-1533 part 2

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Patrick J. G. Stiennon, Reg. No. 34934

Signature



Date

5-11-99

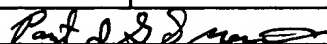
## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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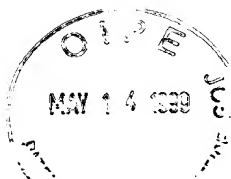
Patrick J. G. Stiennon, Reg. No. 34934

Signature



Date

5-11-99



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*Section 38*

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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*01/14/99*      *01/14/99*      *01/14/99*      *01/14/99*

*01/14/99*      *01/14/99*      *01/14/99*      *01/14/99*

DATE MAILED: *01/14/99*

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in response to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☒ 1. The statutory basic filing fee is:
  - ☒ missing.
  - ☐ insufficient.Applicant must submit \$ 130.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:
  - \$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.
  - \$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
  - \$ \_\_\_\_\_ for multiple dependent claim surcharge.Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
  - ☒ is missing or unsigned.
  - ☐ does not cover the newly submitted items.An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

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Initial Patent Examination Division (703) 308-1202